



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 03-622-A)

2177  
#6  
5/7/04  
AW

In re Application of:

Timothy W. Dygert et al.

Serial No.: 09/976,038

Filed: October 15, 2001

For: Playback Device Having Text Display and  
Communication with Remote Database of Titles)

Art Unit: 2177

Examiner: Mohammad Ali

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APR 30 2004

Technology Center 2100

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria Virginia 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Supplemental Information Disclosure Statement;
- b) Form PTO-1449;
- c) 6 Cited References with 3 English Translated Abstracts; and
- d) Postcard.

2. With respect to fees:

- a) No fee is required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on April 21, 2004.

Respectfully submitted,

Eric Moran  
Registration No. 50,967



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies of the references are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

## CITED REFERENCES

### **I. U.S. PUBLISHED PATENT APPLICATION**

	<u>Patent Application Number</u>	<u>Inventor</u>	<u>Publication Date</u>
1.	US 2001/0030827 A1	Morohashi	October 18, 2001

### **II. FOREIGN PATENT DOCUMENTS**

	<u>Patent Number</u>	<u>Inventor(s)</u>	<u>Publication Date</u>
1.	EP 1 107 254 A2	Kaneko et al.	June 13, 2001
2.	EP 1 128 385 A1	Hovestadt et al.	August 29, 2001
3.	JP 2001 216766		August 10, 2001
4.	JP 2000 215653		August 4, 2000

### **III. OTHER DOCUMENTS**

1. Preliminary Examination Report for PCT/US02/31803, Dated January 21, 2004.

### **IV. 37 CFR 1.98(a)(3) Requirements**

English language abstracts have been submitted herein for the foreign language references, EP 1 128 385 A1, JP 2001 216766, and JP 2000 215653. The Preliminary Examination Report for PCT/US02/31803, Dated January 21, 2004, listing these references has also been submitted herein.

### **Certificate Pursuant to 37 C.F.R. §1.97(e)(1)**

The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Respectfully submitted,

McDonnell, Boehnen, Hulbert & Berghoff LLP



Date: April 21, 2004

By: \_\_\_\_\_

Eric Moran  
Registration No. 50,967

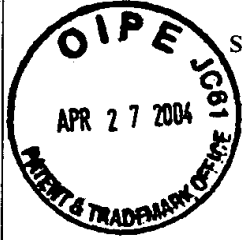
FORM PTO-1449  
(Rev. 2-32)U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

Serial No.

03-622-A

09/976,038

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Timothy W. Dygert et al.

Filing Date:

October 15, 2001

Group:

2177

## U.S. PUBLISHED PATENT APPLICATIONS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	US 2001/0030827 A1	10-18-01	Morohashi			RECEIVED APR 30 2004

## FOREIGN DOCUMENTS

Technology Center 2100

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation
	2.	EP 1 107 254 A2	06-13-01	EP			
	3.	EP 1 128 385 A1	08-29-01	EP			Abstract
	4.	JP 2001 216766	08-10-01	Japan			Abstract
	5.	JP 2000 215653	08-04-00	Japan			Abstract

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	6.	Preliminary Examination Report for PCT/US02/31803, Dated January 21, 2004.
EXAMINER		
DATE CONSIDERED		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.